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The transient response of a quantum wave to an instantaneous potential step switching

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Abstract

The transient response of a stationary state of a quantum particle in a step potential to an instantaneous change in the step height (a simplified model for a sudden bias switch in an electronic semiconductor device) is solved exactly by means of a semianalytical expression. The characteristic times for the transient process up to the new stationary state are identified. A comparison is made between the exact results and an approximate method.

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1. Introduction

A stationary scattering wavefunction (in one dimension for simplicity) responds to an abrupt change in the potential shape by forming a new stationary state for any finite position x. A physical realization would be an abrupt change in the bias voltage of an electronic device [1, 2]. Obtaining the characteristic time(s) of the transient is clearly of practical interest to determine the transport properties of small mesoscopic structures, but modelling the process by means of a grid discretization of space in a 'finite box' is far from simple [2]. The problem is that the boundary conditions at the box edges are not known *a priori* and involve simultaneous injection to, and absorption from, the simulation (box) region. Some approximate ways to deal with the transients have been proposed [1–4] but, surprisingly, no exact solution has been obtained up until now. Our aim in this paper is to work out an explicit and exact solution of the transition between stationary monochromatic waves due to an abrupt potential switch. While the calculation is performed for a step potential that changes the step height suddenly, other potential profiles, e.g., containing square single or double barriers could be treated similarly. Our results are in fact applicable to the outer regions of an arbitrary cut-off potential with different asymptotic levels by inserting the appropriate reflection and transmission amplitudes. The basic trick to find the exact solution is to implement the action of the evolution operator of the new Hamiltonian on the initial state using an integral expression in the complex momentum plane obtained by Hammer et al [5].

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2. Obtaining the exact expression

For a potential step of the form

$$V(x) = -V_0 \Theta(x) \tag{1}$$

where $\Theta(x)$ is the step function and $V_0 > 0$, a stationary state incident from the left has the form

$$\psi_0(x) = h^{-1/2} \begin{cases} e^{iq_0 x/\hbar} + R_0^l(q_0) e^{-iq_0 x/\hbar} & x < 0\\ T_0^l(q_0) e^{ip_0 x/\hbar} & x \ge 0 \end{cases}$$
(2)

where $p_0 = (q_0^2 + 2mV_0)^{1/2}$ and q_0 are positive, and the reflection and transmission coefficients for the left incidence are given by

$$R_0^l(q_0) = \frac{q_0 - p_0}{q_0 + p_0} \tag{3}$$

$$T_0^l(q_0) = \frac{2q_0}{q_0 + p_0}.$$
(4)

If the potential changes suddenly to

$$V(x) = -V_0'\Theta(x) \tag{5}$$

at time t = 0 the wavefunction will evolve in time. Finding $\psi(x, t)$ is equivalent to solving separately the time evolution of the initial functions

$$\psi_1(x, t=0) = h^{-1/2} e^{iq_0 x/\hbar} \Theta(-x)$$
(6)

$$\psi_2(x,t=0) = h^{-1/2} e^{-iq_0 x/\hbar} \Theta(-x)$$
(7)

$$\psi_3(x,t=0) = h^{-1/2} e^{i p_0 x/\hbar} \Theta(x)$$
(8)

and combining linearly the results with the appropriate coefficients: $\psi(x, t) = \psi_1(x, t) + R_0^l \psi_2(x, t) + T_0^l \psi_3(x, t)$. This follows directly from the linearity of the Schrödinger equation (a linear combination of solutions is also a solution), and from the fact that at time t = 0 the above-defined combination satisfies $\psi(x, 0) = \psi_0(x)$, see (2).

Of course the initial state could be different, in particular a state incident from the right. Clearly, to treat any possible initial stationary state we have to consider also a fourth truncated plane wave:

$$\psi_4(x,t=0) = h^{-1/2} e^{-ip_0 x/\hbar} \Theta(x).$$
(9)

Moreover, we should also allow for the possibility of a purely imaginary q_0 in ψ_2 to describe the evolution of an initially evanescent wave. We shall now examine the four possible cases. Note that the evolution of each of these initial states is a realization of Moshinsky's shutter problem [6] for the step potential.

2.1. ψ_1 : initially a positive-momentum cut-off plane wave in x < 0

The momentum representation of $\psi_1(x, t = 0)$ is given by

$$\phi_1(q, t=0) = \frac{i}{2\pi} \frac{1}{q-q_0 + i0}.$$
(10)

Following [5], we shall rewrite the eigenstates of the new Hamiltonian,

$$\psi_q(x) = h^{-1/2} \begin{cases} e^{iqx/\hbar} + R^l(q) e^{-iqx/\hbar} & x \le 0\\ e^{ipx/\hbar} T^l(q) & x \ge 0 \end{cases}$$
(11)

in the form

$$\psi_a(x) = h^{-1/2} e^{iqx/\hbar} + \mathcal{R}_1$$
(12)

where

$$\mathcal{R}_{1} = h^{-1/2} \begin{cases} R^{l} e^{-iqx/\hbar} & x \leq 0\\ T^{l} e^{ipx/\hbar} - e^{iqx/\hbar} & x \geq 0 \end{cases}$$
(13)

and

$$E_q = q^2/2m = p^2/2m - V_0'$$
⁽¹⁴⁾

$$q = [p^2 - 2mV_0']^{1/2}$$
(15)

$$p = [q^2 + 2mV_0]^{1/2}.$$
(16)

The zero of energy is set by convention at the left level, and the amplitudes R^l and T^l are given in appendix A. The square root in the definition of q is chosen with a branch cut in the p-plane between the branch points $p = \pm (2mV_0')^{1/2}$, whereas p has a branch cut in the q-plane between $q = \pm i(2mV_0')^{1/2}$. This means in particular that q and p have the same sign for $E_q > 0$ and $-V_0' < E_q$. The solution method is based on writing the initial state as

$$\psi_1(x, t=0) = \int_{C_1} \mathrm{d}q \,\psi_q(x)\phi_1(q, t=0) \tag{17}$$

where C_1 goes from $-\infty$ to $+\infty$ above all singularities (branch cut and pole). This is possible because

$$\int_{C_1} \mathrm{d}q \,\phi_1(q,t=0)\mathcal{R}_1 = 0 \tag{18}$$

as can be seen by closing the integration contour with a large arc in the upper q-plane and using Cauchy's theorem. Since ψ_q is an eigenstate of the Hamiltonian (even for complex q), the time-dependent wavefunction is given by

$$\psi_1(x,t) = \int_{C_1} \mathrm{d}q \; \psi_q(x) \phi(q,t=0) \,\mathrm{e}^{-\mathrm{i}E_q t/\hbar}.$$
(19)

2.2. ψ_2 : initially a negative-momentum cut-off plane wave in x < 0, or an evanescent wave

The momentum representation of $\psi_2(x, t = 0)$ is given by

$$\phi_2(q, t=0) = \frac{i}{2\pi} \frac{1}{q+q_0+i0}$$
(20)

with the pole again in the lower half q-plane. Following the same procedure used for ψ_1 and using the same \mathcal{R} -function decomposition (equations (12) and (13)), the eigenfunctions ψ_q , and the same contour, $C_2 = C_1$, one obtains

$$\psi_2(x,t) = \int_{C_2} \mathrm{d}q \,\psi_q(x)\phi_2(q,t=0) \,\mathrm{e}^{-\mathrm{i}E_q t/\hbar}.$$
(21)

In the evanescent case, $E_{q_0} < 0$ and $q_0 = i(2mV_0 - p_0^2)^{1/2}$, the pole lies in the lower imaginary axis.

2.3. ψ_3 : initially a positive-momentum cut-off plane wave in x > 0

The treatment of $\psi_3(x)$ is different. The momentum representation of $\psi_3(x, t = 0)$ is given by

$$\phi_3(p,t=0) = \frac{-i}{2\pi} \frac{1}{p - p_0 - i0}$$
(22)

with a pole in the upper half-plane. We shall use the eigenstates

$$\psi_p(x) = h^{-1/2} \begin{cases} T^r(-p) e^{iqx/\hbar} & x \leq 0\\ e^{ipx/\hbar} + R^r(-p) e^{-ipx/\hbar} & x \geq 0 \end{cases}$$
(23)

where the amplitudes are given in appendix A. Similar to (12) we write

$$\psi_p(x) = h^{-1/2} \,\mathrm{e}^{\mathrm{i} p x/\hbar} + \mathcal{R}_3 \tag{24}$$

with

$$\mathcal{R}_{3} = h^{-1/2} \begin{cases} T^{r}(-p) e^{iqx/\hbar} - e^{ipx/\hbar} & x \leq 0 \\ R^{r}(-p) e^{-ipx/\hbar} & x \geq 0. \end{cases}$$
(25)

The integral

$$\int_{C_3} \mathrm{d}p \,\phi_3(p,t=0)\mathcal{R}_3 = 0 \tag{26}$$

vanishes for C_3 going from $-\infty$ to ∞ passing *below* the singularities (pole and branch cut). Note that the contour must now be closed in the lower half-plane to apply Cauchy's theorem. Finally,

$$\psi_3(x,t) = \int_{C_3} \mathrm{d}p \,\phi_3(p,t=0) \psi_p(x) \,\mathrm{e}^{-\mathrm{i}E_q t/\hbar}.$$
(27)

2.4. ψ_4 : initially a negative-momentum cut-off plane wave in x > 0

The treatment is essentially the same as for ψ_3 , but with

$$\phi_4(p,t=0) = \frac{-1}{2\pi} \frac{1}{p+p_0 - \mathrm{i}0}.$$
(28)

3. Contour deformations

The explicit expressions for $\psi_j(x, t)$, j = 1, ..., 4 are given in appendix B. These different terms contain exponentials of the form $\exp(\pm iqx/\hbar)$ or $\exp(\pm ipx/\hbar)$ (for terms with support $x \leq 0$ or $x \geq 0$, respectively). In each case the integral is better solved in the corresponding plane, q or p, by contour deformation along the steepest descent path to be described below. We shall generically use the variable k in both cases. Note that due to the decomposition of the stationary wavefunctions into three terms (associated with a *T*-amplitude, an *R*-amplitude and an independent term, I, see (11) and (23)) each wavefunction $\psi_j(x, t)$ may be separated into three contributions that we shall denote as $\psi_{j\alpha}$, where $\alpha = I$, T, R: $\psi_j = \sum_{\alpha} \psi_{j,\alpha}$. There are 12 of these terms, each with support in one half-line, and therefore 12 different integrals. We shall denote as k_j the poles in the momentum representation of the initial state $\psi_j(x, t = 0)$. They are listed in table 1 together with many other features of the 12 terms.

The 12 terms may be written in the compact form:

$$\psi_{j\alpha}(x) = c_j F \mathcal{I}_{j\alpha} \tag{29}$$

$$\mathcal{I}_{j\alpha} = \int_{C_j} \mathrm{d}k \, \mathrm{e}^{-\mathrm{i}(ak^2 + kb_{j\alpha})} g_{j\alpha}(k) \tag{30}$$

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Table 1. Features of the terms $\psi_{j\alpha}$.									
Term	Support	-b/2a	Pole	H-term	${\rm e}^{{\rm i} V_0' t/\hbar}$	Contour	A_0	k_0	g
ψ_{1T}	x > 0	xm/t > 0	$q_0 - i0$	Yes	Yes	Above	$T^l(q_0 - \mathrm{i}0)$	$p(q_0 - i0)$	$\frac{p}{q} \frac{T^l(p)}{q-q_0+i0}$
ψ_{1I}	x < 0	xm/t < 0	$q_0 - \mathrm{i}0$	No	No	Above	1	$q_0 - i0$	$\frac{1}{q-q_0+\mathrm{i}0}$
ψ_{1R}	x < 0	-xm/t > 0	$q_0 - i0$	Yes	No	Above	$R^l(q_0 - \mathrm{i}0)$	$q_0 - i0$	$\frac{R^l(q)}{q-q_0+\mathrm{i}0}$
ψ_{2T}	x > 0	xm/t > 0	$-q_0 - i0$	Yes	Yes	Above	$T^l(-q_0-\mathrm{i}0)$	$p(-q_0 - i0)$	$\frac{p}{q} \frac{T^l(p)}{q+q_0+\mathrm{i}0}$
ψ_{2I}	x < 0	xm/t < 0	$-q_0 - i0$	No	No	Above	1	$-q_0 - i0$	$\frac{1}{q+q_0+\mathrm{i}0}$
ψ_{2R}	x < 0	-xm/t > 0	$-q_0 - i0$	Yes	No	Above	$R^l(-q_0-\mathrm{i}0)$	$-q_0 - i0$	$\frac{R^l(q)}{q+q_0+\mathrm{i}0}$
ψ_{3I}	x > 0	xm/t > 0	$p_0 + i0$	No	Yes	Below	1	$p_0 + i0$	$\frac{1}{p - p_0 - i0}$
ψ_{3R}	x > 0	-xm/t < 0	$p_0 + i0$	Yes	Yes	Below	$R^r(-p_0-\mathrm{i}0)$	$p_0 + i0$	$\frac{R^r(-p)}{p-p_0-\mathrm{i}0}$
ψ_{3T}	x < 0	xm/t < 0	$p_0 + i0$	Yes	No	Below	$T^r(-p_0-\mathrm{i}0)$	$q(p_0 + \mathrm{i}0)$	$\frac{q}{p} \frac{T^r(-p)}{p - p_0 - \mathrm{i}0}$
ψ_{4I}	x > 0	xm/t > 0	$-p_0 + i0$	No	Yes	Below	1	$-p_0 + i0$	$\frac{1}{p+p_0-i0}$
ψ_{4R}	x > 0	-xm/t < 0	$-p_0 + i0$	Yes	Yes	Below	$R^r(p_0-\mathrm{i}0)$	$-p_0 + i0$	$\frac{R^r(-p)}{p-p_0-\mathrm{i}0}$
ψ_{4T}	x < 0	xm/t < 0	$-p_0 + i0$	Yes	No	Below	$T^r(p_0-\mathrm{i}0)$	$q(-p_0 + \mathrm{i}0)$	$\frac{q}{p} \frac{T^r(-p)}{p - p_0 - \mathrm{i}0}$

and vanish outside their support region. In the above expressions $c_{1,2} = i/2\pi h^{1/2}$, $c_{3,4} = -i/2\pi h^{1/2}$, $a = t/(2m\hbar)$,

$$F = \Theta(x)(e^{itV_0/\hbar} - 1) + 1$$
(31)

and g(k) always has a pole (we shall drop the subscripts j, α unless they are strictly necessary). Moreover, the *R*- and *T*-terms have also a branch-cut singularity. The saddle point of the exponent is at k = -b/2a (xm/t for I - and T -terms and -xm/t for R -terms) and the steepest descent path is the straight line Im(k) = -(Re(k) + b/2a). By completing the square, introducing the new variable u,

$$u = (k + b/2a)/f$$
 $f = (1 - i)(m\hbar/t)^{1/2}$ (32)

which is real on the steepest descent path and zero at the saddle point, and mapping the contour to the *u*-plane, the integral takes the form

$$\mathcal{I} = e^{imx^2/\hbar t} f \int_{C_u} du \, e^{-u^2} G(u) \tag{33}$$

where $G(u) \equiv g[k(u)]$. This function has a simple pole at $u_0 \equiv (k_0 + b/2a)/f$ and possibly a branch cut, whereas k_0 is given in table 1. It is now useful to separate the pole and branch-cut contributions explicitly and write *G* as

$$G(u) = \frac{A_0/f}{u - u_0} + H(u)$$
(34)

where A_0/f is the residue of G(u) at $u = u_0$ and the remainder, H(u), is obtained by subtraction. Note that H(u) is either an entire function, if there is no branch cut, or its only singularity is the branch cut.

The integral \mathcal{I} is thus separated into two integrals, $\mathcal{I} = \mathcal{I}' + \mathcal{I}''$. The first one may be reduced to a known function by deforming the contour along the steepest descent path (real-*u* axis) and taking proper care of the pole contribution,

$$\mathcal{I}' \equiv e^{imx^2/\hbar t} A_0 \int_{C_u} du \frac{e^{-u^2}}{u - u_0} = \begin{cases} -i\pi e^{imx^2/\hbar t} A_0 w(-u_0) & j = 1, 2\\ i\pi e^{imx^2/\hbar t} A_0 w(u_0) & j = 3, 4 \end{cases}$$
(35)

where $w(z) = \exp(-z^2)\operatorname{erfc}(-iz)$. In general the second integral, which involves the remainder *H*, has to be evaluated numerically,

$$\mathcal{I}'' \equiv e^{imx^2/\hbar t} f \int_{C_u} du \ H(u) \ e^{-u^2}.$$
(36)

However, the computational effort is greatly reduced by deforming the contour also along the steepest descent path. The branch cut, whenever it is present, cannot be crossed and has to be surrounded. This occurs for

$$|mx/t| < (2mV_0')^{1/2}.$$
(37)

Otherwise there is no branch-cut contribution and the integral may be expressed as a series by expanding H(u) around the origin and integrating term by term,

$$\mathcal{I}'' = e^{imx^2/\hbar t} f \pi^{1/2} \left[H(u=0) + \sum_{n=1}^{\infty} \frac{1 \times 3 \times \dots \times (2n-1)}{2^n (2n)} H^{(2n)}(u=0) \right].$$
 (38)

In practice the first term already gives a very good approximation, even if (37) holds. The \mathcal{I}'' -integrals have to be calculated numerically only for $|mx/t| < (2mV'_0)^{1/2}$ and their relative importance with respect to w-terms from \mathcal{I}' is only significant for rather small x at intermediate times, since as $t \to \infty$, $\mathcal{I}'' \to 0$ in all cases. A general analytical approximation making use of \mathcal{I}' and the first term in \mathcal{I}'' is given by

$$\psi_{j\alpha} \approx \frac{F \,\mathrm{e}^{\mathrm{i}mx^2/2\hbar t}}{2h^{1/2}} \left[\pm A_0 w(\mp u_0) + \frac{f}{\pi^{1/2}} H(u=0) \right] \tag{39}$$

with the upper sign for j = 1, 2 and the lower sign for j = 3, 4, see figure 1. Note the basic role of the *w*-functions, which may be considered the elementary transient mode propagators of the Schrödinger equation [9]. They will show approximate wave fronts when $x(u_0 = 0)$ lies within the domain of the term (i.e., when the saddle meets the pole). This occurs (for $q_0 > 0$) for the terms 1*T*, 1*R*, 2*I*, 3*I*, 4*T* and 4*R*, see two examples in figure 2.

The long time behaviour may be obtained from the asymptotic (large-z) formula,

$$w(z) \sim \begin{cases} \frac{1}{\pi^{1/2} z} & \text{Im } z > 0\\ \frac{i}{\pi^{1/2} z} + 2 e^{-z^2} & \text{Im } z < 0. \end{cases}$$
(40)

All $\psi_{2,3}$ terms vanish as $t \to \infty$ for finite *x*, since these waves initially move away from the origin. In spite of this dominant motion, note that there is a transitory and generally small contribution of ψ_{2T} at positive *x* and of ψ_{3T} at negative *x*. In contrast, the *w*-functions of $\psi_{1,4}$ pick up the exponential contribution in (40) which gives the new stationary states. In particular, as $t \to \infty$ and for finite *x*,

$$\psi_1(x,t) \to \frac{e^{-iE_qt/\hbar}}{h^{1/2}} \begin{cases} e^{iq_0x/\hbar} + R^l(q_0) e^{-iq_0x/\hbar} & x < 0\\ T^l(q_0) e^{ip_0'x/\hbar} & x \ge 0 \end{cases}$$

$$\psi_4(x,t) \to \frac{\mathrm{e}^{-\mathrm{i}E_qt/\hbar}}{h^{1/2}} \begin{cases} T^r(p_0') \, \mathrm{e}^{-\mathrm{i}q_0x/\hbar} & x < 0\\ \mathrm{e}^{-\mathrm{i}p_0'x/\hbar} + R^r(p_0') \, \mathrm{e}^{\mathrm{i}p_0'x/\hbar} & x \geqslant 0 \end{cases}$$



Figure 1. Exact density versus *t* (solid line), and approximation using (39) (dotted line) for x = 100 nm. Also shown is the contribution of \mathcal{I}'' multiplied by a factor of 10 (dashed line), and the point when the steepest descent path crosses the branch point (triangle). Mass = $0.067m_e$, $E_q = 0.3 \text{ eV}$, $V_0 = 0.3 \text{ eV}$ and $V'_0 = 0.8 \text{ eV}$.



Figure 2. $|\psi_{1T}|^2$, $|\psi_{2T}|^2$ and $|\psi_{3I}|^2$ versus x at t = 10 fs. Mass $= 0.067m_e$, $E_q = 0.3$ eV, $V_0 = 0.3$ eV and $V'_0 = 0.8$ eV. The diamond and circle mark $p_0 t/m$ and $p'_0 t/m$ respectively.

4. Examples

Figure 3 shows a typical wavefunction 'density'³ versus *x* at two fixed instants $t_2 > t_1 > 0$ for $V'_0 > V_0 > 0$. For x > 0 the main features are two flat regions representing the old (to the right) and new (to the left) stationary regimes separated by an oscillating structure. A simple semiclassical picture provides a good zeroth order explanation: assume a stationary flux of classical particles in the old potential, with incident momentum q_0 and transmitted momentum p_0 . After the potential switch at t = 0, the last transmitted particle with momentum p_0 will be

³ Because of the scattering normalization of the wavefunctions the 'densities' do not have dimensions [1/L], which would of course be obtained by forming wave packets.



Figure 3. $|\psi|^2$ versus x for t = 10 fs (upper figure) and t = 50 fs (lower figure). The exact solution (solid line). The diamond marks $p_0 t/m$; the circle $p'_0 t/m$; the square $-q_0 t/m$. Mass = $0.067m_e$, $E_q = 0.3$ eV, $V_0 = 0.3$ eV and $V'_0 = 0.8$ eV.

at $p_0 t/m$, whereas the first transmitted particle with momentum p'_0 will be at $p'_0 t/m$. Since $p'_0 > p_0$ there is a region of width $(p'_0 - p_0)t/m$ where the two types of particles coexist. In the corresponding quantum scenario one may expect interference and oscillations of wavelength $2\pi\hbar/(p'_0 - p_0)$ in this region, whereas in the regions dominated by only one plane wave the density does not oscillate and is proportional to the corresponding transmission probability, either $|T_0^l(q_0)|^2$ for the 'old wave' or $|T^l(q_0)|^2$ for the 'new wave', which in the present case is smaller than the former, a somewhat surprising feature of quantum scattering of potential steps from the perspective of classical mechanics. The two critical positions are marked in the figure with a diamond and a circle. The average local frequency [10, 11] also shows the transition between the two regimes, see figure 4.

The x < 0 region is clearly divided into 'old' (to the left) and 'new' regimes where the incident and reflected components interfere. They also admit a simple analysis: since the reflected wave stays dominated by momentum $-q_0$, the interference pattern wavelength stays the same in the new and old regimes, $(\pi\hbar/q_0)$, and the only difference is the amplitude change due to the change of reflection probability from $|R_0^l(q_0)|^2$ to $|R^l(q_0)|^2$. The transition at $x = -q_0t/m$ is marked with a square in figure 3.

In the example shown the dominant terms for x > 0 are ψ_{3I} and ψ_{1T} representing respectively the 'old' and 'new' waves. Equation (39) with these two terms only provides a very good approximation. For x < 0 the dominant terms are ψ_{1I} (incident wave), ψ_{2I} (old reflected wave) and ψ_{1R} (new reflected wave). Again, the analytical approximation describes the main features correctly. We may expect a worse performance of the analytical approximation in processes involving tunnelling or evanescent waves, with the pole lying close to the branch cut: for example, when $E_q < 0$, or $-V'_0 > E_q > 0$. Some of these processes and the corresponding time scales have been studied recently in [12] so we shall not insist on them here.

Figure 5 shows the density for a case in which $V_0 > V'_0 > 0$. The new wave now moves at a slower pace than the old one so there is no interference structure between the two.



Figure 4. $\hbar \times \omega_{av}$ versus time at x = 100 nm (solid line), where the average local frequency is defined as $\omega_{av} \equiv -\text{Im}[(d\psi/dt)/\psi]$. Mass $= 0.067m_e$, $E_q = 0.3 \text{ eV}$, $V_0 = 0.3 \text{ eV}$ and $V'_0 = 0.8 \text{ eV}$. The diamond and circle indicate xm/p'_0 and xm/p_0 respectively. The initial value is $V_0 + E_q - V'_0$ (dashed line) whereas the final asymptotic value is E_q (dotted line).



Figure 5. $|\psi|^2$ versus x: mass = 0.067m_e, $E_q = 0.3$ eV, $V_0 = 0.8$ eV and $V'_0 = 0.2$ eV. The square is at $-q_0 t/m$, the circle at $p'_0 t/m$ and the diamond at $p_0 t/m$.

5. Comparison with an approximate method

As stated in the introduction, the basic difficulty in dealing with transient phenomena between stationary scattering states by means of grid methods is that the time-dependent boundary conditions at the box edges are not known *a priori*. Several approximate schemes have been proposed to overcome this difficulty, and our exact solution provides a needed reference to test their validity and/or range of applicability. We have in particular made a comparison with a method proposed by Mains and Haddad [1] based on looking a short distance into the



Figure 6. $J \times 100$ at the left box edge, x = -22.48 nm (solid line: exact; short dashed line: approximation). Mass $= 0.042m_e$, $E_q = 0.04$ eV, $V_0 = 0.42$ eV and $V'_0 = 0.62$ eV; box length: L = 44.96 nm; number of grid points: $N = 10^4$; time step: $\Delta t = 10^{-6}$ fs. The circle is at $t = xm/q_0$. The two straight lines indicate the values of $J \times 100$ for the initial and final stationary functions.

simulation domain to determine what is coming out. Especially, at the left edge region the wave is written as

$$\psi_l = A e^{iq_0 x/\hbar} + B(x, t) e^{-iq_0 x/\hbar}.$$
(41)

Substituting this form in the Schrödinger equation and neglecting the second-order derivative of *B*,

$$i\hbar \frac{\partial \psi_l}{\partial t} \approx \frac{q_0^2}{2m} \psi_l + i\frac{\hbar q_0}{m} \frac{\partial B(x,t)}{\partial x} e^{-iq_0 x/\hbar}.$$
(42)

The first derivative of B is then calculated numerically at each time step with the first two spatial points, and is used to update the boundary condition for the next time step as

$$\psi(t + \Delta t)_l \approx \psi(t)_l \,\mathrm{e}^{-\mathrm{i}E_{q_0}\Delta t/\hbar} + \frac{q_0}{m} \frac{\partial B(x,t)}{\partial x} \,\mathrm{e}^{-\mathrm{i}q_0 x/\hbar} \Delta t. \tag{43}$$

Similarly, the wavefunction at the right edge grid points is written as

$$\psi_r = C(x,t) \,\mathrm{e}^{\mathrm{i}p_0' x/\hbar}.\tag{44}$$

Assuming again that C is linear in x and evaluating its derivative numerically with the two last grid points the boundary condition at the right is updated as

$$\psi(t + \Delta t)_r \approx \psi(t)_r \,\mathrm{e}^{-\mathrm{i}E_{q_0}\Delta t/\hbar} - \frac{p_0'}{m} \frac{\partial C(x,t)}{\partial x} \,\mathrm{e}^{-\mathrm{i}p_0'x/\hbar} \Delta t. \tag{45}$$

We have adapted Koonin's grid method [13] to this boundary-condition scheme and have calculated the 'flux'⁴ versus time at the box edges (figures 6 and 8) and at the centre x = 0 (figure 7) for the same potential jump considered in [1]; the effective mass is taken as m = 0.042 au (for In_{0.53}Ga_{0.47}As–AlAs) and the incident energy corresponds to the Fermi level.

⁴ The 'flux' J(x) is computed with the standard expression $\frac{\hbar}{m} \text{Im}[\psi(x)^* d\psi(x)/dx]$. However, because of the continuum normalization of the wavefunctions *J* does not have the dimensions of a current density, which would be recovered by forming a normalizable wave packet.



Figure 7. $J \times 100$ at x = 0. Exact (solid line) and for two different box lengths: L = 179.84 nm, $N = 80\,000$, $\Delta t = 5 \times 10^{-5}$ fs (dashed line); and L = 44.96 nm with the same grid density and Δt as in the other box (dots). Mass = $0.042m_e$, $E_q = 0.04$ eV, $V_0 = 0.42$ eV and $V'_0 = 0.62$ eV



Figure 8. $J \times 100$ at the right box edge, x = 22.48 nm (solid line: exact; short dashed line: approximation). Mass = $0.042m_e$, $E_q = 0.04$ eV, $V_0 = 0.42$ eV and $V'_0 = 0.62$ eV; box length: L = 44.96 nm; number of grid points: $N = 10^4$; time step: $\Delta t = 10^{-6}$ fs. The circle is at xm/p'_0 and the diamond at xm/p_0 . The two straight lines indicate the values for the initial and final stationary functions.

The comparison with the exact results demonstrates that the linear ansatz is quite good at the right edge but fails at the left edge, where the incident and reflected components interfere. The error introduced at the left edge propagates and eventually affects the entire simulation domain, in particular the flux at the origin is deformed rapidly with respect to the exact one. Enlarging the box retards the deviation from the exact result, see figure 7, but the computational cost becomes exceedingly large to reproduce correctly the whole transient at the origin.

6. Discussion and conclusions

We have obtained an exact solution of the transition between two stationary scattering states due to the sudden change in a potential step. Equivalently, we have solved exactly the Moshinsky's shutter problem for an arbitrary cut-off plane wave in the step potential. (For other potential shapes see [14-18].) The explicit expressions obtained for their time evolution would in fact be directly applicable to an arbitrary cut-off potential with different asymptotic levels by using the appropriate transmission and reflection amplitudes. (For a recent work on step-like potentials scattering, see [19, 20].) The exact results allow us to identify characteristic times for the transients. They also provide a needed reference for testing approximate methods that model time-dependent open systems (finite systems exchanging particles with the outside) with injecting and absorbing boundary conditions, such as ultrahigh-speed electronic devices [21]. With the development of novel semiconductor nanostructures, it has become important to carry out theoretical and experimental studies when an external bias is applied [22-24]. One key question remaining in these experiments is the analysis of the device transient response to an instantaneous potential step switching. The characteristic time of the response is of practical interest to determine the nanostructure transport properties and its possible applications to novel ultrahigh-speed semiconductor devices.

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Appendix A. Reflection and transmission amplitudes

The reflection and transmission amplitudes in stationary waves with left and right incidences are given by

$$R^{l}(q) = \frac{q-p}{q+p} \qquad T^{l}(q) = \frac{2q}{q+p}$$

$$R^{r}(p) = \frac{p-q}{q+p} \qquad T^{r}(p) = \frac{2p}{q+p}$$
(A.1)

for positive values of the arguments. The analytical continuations for negative arguments are the amplitudes for the 'outgoing' or 'time-reversed' stationary states.

Appendix B. Wavefunctions

These are the time-dependent wavefunctions corresponding to the initial conditions given in (6)-(9) for the potential of (5):

$$\psi_{1,2} = \frac{i}{2\pi\sqrt{h}} \begin{cases} \int_{C_j} dq \frac{e^{-iE_q t/\hbar}}{q \mp q_0 + i0} \left(e^{iqx/\hbar} + \frac{q-p}{q+p} e^{-iqx/\hbar} \right) & x \leqslant 0 \\ \int_{C_j} dp \frac{2p e^{ipx/\hbar} e^{-iE_q t/\hbar}}{(q+p)(q \mp q_0 + i0)} & x \geqslant 0 \end{cases}$$
(B.1)

where the minus and plus signs correspond to ψ_1 and ψ_2 respectively, and

$$\psi_{3,4} = \frac{-\mathrm{i}}{2\pi\sqrt{h}} \begin{cases} \int_{C_j} \mathrm{d}q \frac{2q \,\mathrm{e}^{\mathrm{i}qx/h} \mathrm{e}^{-\mathrm{i}E_qt/h}}{(q+p)(p\mp p_0 - \mathrm{i}0)} & x \leqslant 0\\ \int_{C_j} \mathrm{d}p \frac{\mathrm{e}^{-\mathrm{i}E_qt/h}}{p\mp p_0 - \mathrm{i}0} \left(\mathrm{e}^{\mathrm{i}px/h} + \frac{p-q}{q+p} \,\mathrm{e}^{-\mathrm{i}px/h}\right) & x \geqslant 0 \end{cases}$$
(B.2)

with the minus sign for ψ_3 and the plus sign for ψ_4 .

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